



THE ITALIAN NOTARIES
—BUSANI & PARTNERS—
PROVIDING BEST SOLUTIONS IN A FRAMEWORK OF LEGAL CERTAINTY

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**DECLARATIONS RELATING TO ITALIAN LEGISLATION
ANTI-MONEY LAUNDERING (legislative decree 231/2007)**

DICHIARAZIONI IN RELAZIONE ALLA NORMATIVA ITALIANA ANTIRICICLAGGIO (d. lgs. 231/2007)

[this Form must be signed by the Legal Representative of the Company performing operations at the Italian Notary's office]

Pursuant to Legislative Decree 231/2007, I, the undersigned, hereby provide my personal details and the following informations, aware of the applicable regulations and assuming all responsibility for any untrue declarations.

Ai sensi del d. lgs. 231/2007, io sottoscritto/a fornisco, qui di seguito, le mie generalità e le sottostanti informazioni, consapevole della normativa applicabile e assumendomi ogni responsabilità inerente a dichiarazioni non veritiere.

1. COMPANY NAME OF THE COMPANY CLIENT OF THE ITALIAN NOTARY PUBLIC (THE "COMPANY") DENOMINAZIONE DELLA SOCIETÀ STRANIERA CLIENTE DEL NOTAIO ITALIANO	[Please fill in this box]
2. REGISTERED OFFICE OF THE COMPANY SEDE LEGALE DELLA SOCIETÀ	[Please fill in this box]
3. NAME OF THE PUBLIC REGISTER (IF ANY) IN WHICH THE COMPANY IS REGISTERED DENOMINAZIONE DEL PUBBLICO REGISTRO NEL QUALE LA SOCIETÀ È ISCRITTA <input type="checkbox"/> → Please tick the box <u>if there is no Public Register</u> in the Country in which the Company has its Registered Office. <i>In this case it is <u>mandatory</u> to attach to this Form a declaration of a Notary Public or a Law Firm of recognized international standing attesting to the existence of the Company and the names of its Legal Representatives.</i>	[Please fill in this box]
4. NUMBER OF REGISTRATION (IF ANY) OF THE COMPANY IN THE PUBLIC REGISTER NUMERO DI ISCRIZIONE NEL PUBBLICO REGISTRO	[Please fill in this box]
→ It is <u>mandatory</u> to attach to this form a recently issued Certificate of Good Standing of the Company	<input type="checkbox"/> → Please tick the box to confirm that the required Certificate is attached to this Form
5. IDENTIFICATION OF THE LEGAL REPRESENTATIVE OF THE COMPANY COMPLETING THIS FORM IDENTIFICAZIONE DEL LEGALE RAPPRESENTANTE DELLA SOCIETÀ CHE COMPILE QUESTO MODULO	[Please fill in this box] [Last and First Name] → [Place of Birth] → [Date of Birth] →
→ It is <u>mandatory</u> to attach to this Form a scan of the identity document of the Legal Representative of the Company signing this Form	<input type="checkbox"/> → Please tick the box to confirm that the required copy of the identity document is attached to this Form

<p>6. CLIENT'S INTEREST (PURPOSE OF THE ACTIVITY ENTRUSTED TO THE NOTARY)</p> <p>PRESTAZIONE RICHIESTA AL NOTAIO DAL CLIENTE</p>	<p>The notary is required to provide the following service/s:</p> <p><input type="checkbox"/>→ company incorporation</p> <p><input type="checkbox"/>→ shareholders / board meeting resolution</p> <p><input type="checkbox"/>→ branch set-up or termination</p> <p><input type="checkbox"/>→ deed of distribution / contribution</p> <p><input type="checkbox"/>→ loan and / or security package</p> <p><input type="checkbox"/>→ release of security package</p> <p><input type="checkbox"/>→ sale/purchase of → <input type="checkbox"/>→ <i>real estate</i></p> <p><input type="checkbox"/>→ <i>going concern (azienda)</i></p> <p><input type="checkbox"/>→ <i>shares/quotas</i></p> <p><input type="checkbox"/>→ <i>[other]</i></p> <p><input type="checkbox"/>→ division</p> <p><input type="checkbox"/>→ trust</p> <p><input type="checkbox"/>→ escrow agreement</p> <p><input type="checkbox"/>→ urban planning agreement</p> <p><input type="checkbox"/>→ barter</p> <p><input type="checkbox"/>→</p> <p>NOTES:</p>
<p>7. VALUE / PRICE OF THE LEGAL ACTIVITY ENTRUSTED TO THE NOTARY</p> <p>PREZZO / VALORE DELLA PRESTAZIONE RICHIESTA AL NOTAIO</p>	<p><input type="checkbox"/>→ service required form the Notary has no price / no economic value</p> <p>[e.g.: <i>shareholders resolution to change company's name or company's registered office</i>]</p> <p><input type="checkbox"/>→ service required form the Notary has the value of EUR</p> <p>[e.g.: <i>price of sale-purchase agreement; value of the securities; increase of share capital; loan amount, etc.</i>]</p> <p>NOTES:</p> <p>.....</p>
<p>8. PAYMENT OF THE PRICE (OR DISBURSEMENT OF MONEY)</p> <p>PAGAMENTO DEL PREZZO (VERSAMENTO DI DENARO)</p> <p><i>[to be completed <u>only</u> by the Party (if any)]</i></p> <p>● <i>who assumes the quality of <u>Purchasing Party</u> or</i></p> <p>● <i>who in any case <u>makes a disbursement</u></i></p>	<p>such sum is paid/disbursed by the Purchasing Party/Paying Agent by means of funds derived from:</p> <p><input type="checkbox"/>→ bank mortgage <input type="checkbox"/>→ entrepreneurial income <input type="checkbox"/>→ corporate/intercompany cashbox</p> <p><input type="checkbox"/>→ <i>[other]</i>.....</p> <p>NOTES:</p> <p>.....</p> <hr/> <p>and by the following methods of payment:</p> <p><input type="checkbox"/>→ bank/ cashier's cheque <input type="checkbox"/>→ wire transfer <input type="checkbox"/>→ cash</p> <p><input type="checkbox"/>→ the payment will be made in whole/in part after the signing of the notarial deed</p> <p>NOTES:</p> <p>.....</p>

9. IDENTIFICATION OF THE ULTIMATE BENEFICIAL OWNER (UBO) OF THE COMPANY

IDENTIFICAZIONE DEL TITOLARE EFFETTIVO DELLA SOCIETÀ

Please note that, according to Italian law, the

Beneficial Owner

primarily is (are) the natural person(s) who ultimately has (have) direct or indirect ownership or control of the Legal Entity. Therefore, in the case of:

• **Corporations/Companies**, a **Beneficial Owner** is the natural person who (directly or indirectly) has (i) a shareholding of more than 25 per cent or (ii) has the majority of the votes exercisable at the ordinary shareholders' meeting or (iii) can exercise a dominant influence on the ordinary shareholders' meeting.

• **Foundations** (or similar entities, like **Trusts**), the **Beneficial Owners** is (i) the founder, if alive, (ii) the beneficiary, when identified or easily identifiable, (iii) the holder of powers of legal representation, management and administration.

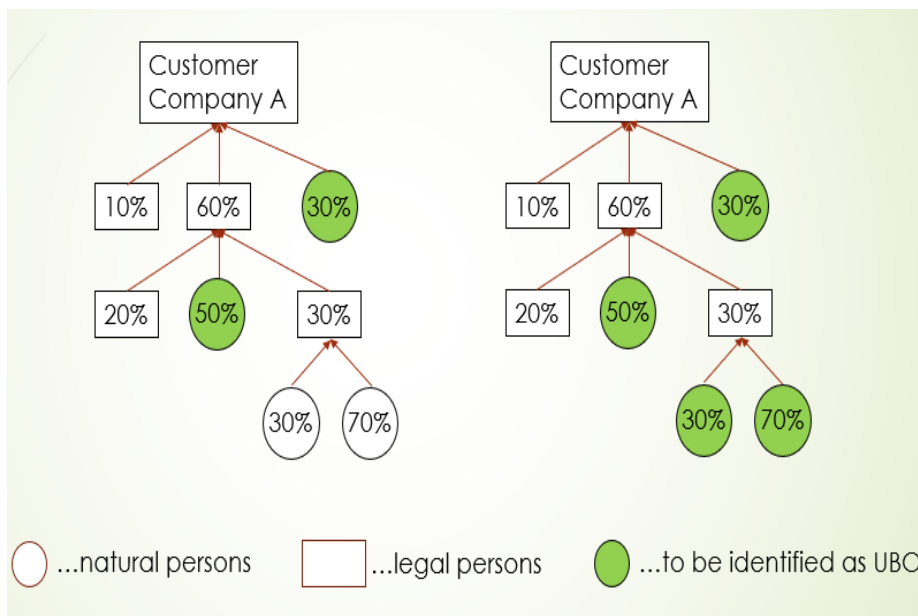
When the above criteria cannot be applied, the **Beneficial Owner** is the natural person with powers of legal representation, administration or management of the legal entity.

Therefore, cross out and use:

- box 10 if the Beneficial Owners is/are the shareholder/s

- box 11 if the Beneficial Owner is the legal representative of the Company.

Example of direct and indirect ownership



→ It is mandatory to attach to this Form the **Chart of the group** to which the Company belongs, up to the company in which the Beneficiary is a shareholder

☐ → Please tick the box to confirm that the required Chart is attached to this Form

[Please fill in this box, if the case]

10. ☐ → SHAREHOLDERS (NATURAL PERSONS) HOLDING, DIRECTLY OR INDIRECTLY, MORE THAN 25% OF THE SHARE CAPITAL ARE THOSE LISTED IN THE BOX ON THE RIGHT.

IDENTIFICAZIONE DEL SOCIO TITOLARE DI UNA QUOTA DI CAPITALE SOCIALE MAGGIORE DEL 25%

[Please fill in this box, if the case]

1) [Last and First Name] →

[Place of Birth] →

[Date of Birth] →

→ It is mandatory to attach to this Form a scan of the identity document of the Shareholder under consideration

☐ → Please tick the box to confirm that the required copy of the identity document is attached to this Form

[Please fill in this box, if the case]

2) [Last and First Name] →

[Place of Birth] →

[Date of Birth] →

→ It is mandatory to attach to this Form a scan of the identity document of the Shareholder under consideration


☐ → Please tick the box to confirm that the required copy of the identity document is attached to this Form

[Go ahead in the next page, if the case]

	[Please fill in this box, if the case]
	3) [Last and First Name] → [Place of Birth] → [Date of Birth] → → → It is <u>mandatory</u> to attach to this Form a scan of the identity document of the Shareholder under consideration <input type="checkbox"/> → Please tick the box to confirm that the required copy of the identity document is attached to this Form
	[Please fill in this box, if the case]
	4) [Last and First Name] → [Place of Birth] → [Date of Birth] → → → It is <u>mandatory</u> to attach to this Form a scan of the identity document of the Shareholder under consideration <input type="checkbox"/> → Please tick the box to confirm that the required copy of the identity document is attached to this Form

[Please fill in this box, if the case]	[Please fill in this box, if the case]
11. <input type="checkbox"/> → THE COMPANY HAS NO SHAREHOLDERS (NATURAL PERSONS) OWNING, DIRECTLY OR INDIRECTLY, MORE THAN 25% OF THE SHARE CAPITAL. Therefore, under Italian law, <u>the beneficial owner of the Company is its legal representative</u> , identified in the box to the right	[Last and First Name] → [Place of Birth] → [Date of Birth] → → It is <u>mandatory</u> to attach to this Form a scan of the identity document of the Legal Representative of the Company <input type="checkbox"/> → Please tick the box to confirm that the required copy of the identity document is attached to this Form

12. STATEMENT ABOUT POLITICALLY EXPOSED PERSONS (PEP) DICHIARAZIONE SULLE PERSONE ESPOSTE POLITICAMENTE (PEP) Please note that, according to Italian law, the following are deemed to be <u>Politically Exposed Persons PEP</u> <i>natural persons who hold, or have held, for less than one-year, important public office (as well as <u>similar positions in foreign States</u>) as well as <u>members of their families</u> (parent, son, daughter, husband, wife, partner, husband and wife of the son or daughter) and <u>those known to have close links</u> with such persons, as listed below:</i> - President of the Republic, Prime Minister, Minister, Vice-Minister, President of a Region, Regional Assessor, Mayor of a Municipality, Member of the Italian or European Parliament, Regional Council member, high-level political Party executive; - high-level judge, ambassador, high-level officer of the Armed Forces; - Central bank or independent Authority executive; - member of the management and control bodies of publicly owned Companies; health care facility executive.	<div style="text-align: right;">[Please fill in this box]</div> <input type="checkbox"/> → No Legal Representative of the Company is a PEP <input type="checkbox"/> → No Beneficial Owner (if any) of the Company is a PEP <input type="checkbox"/> → [please describe here below if there are any cases that are different from those above] →
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DATE ____ / ____ / 20 ____		SIGNATURE OF THE LEGAL REPRESENTATIVE OF THE COMPANY TO CONFIRM THE ACCURACY AND COMPLETENESS OF THE ABOVE DATA X _____
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